

Risk Description	Inherent Risk Score	Existing Control Measure Description	Residual Risk Score	Target Risk Level	Action Plan Description	Type	Action Date	Review Date
Ageing population leading to health and social care pressures	12	Health and Well-Being Partnership's key work stream is Our Health Our Care Programme (OHOC)	6	4	Masterplan developed. OPE bid successful. OHOC is a continuing programme and SRBC is fully engaged	In Progress	31/03/2018	20/09/2017
		Staff members have had dementia champion training and they are delivering dementia awareness training to local businesses and community groups						
		Staff hold a café encouraging people suffering from dementia, memory loss and social isolation to attend. Also hold a dementia hub working alongside businesses and organisations providing information to families effected by dementia			In Progress	31/03/2018		
Failure of corporate governance leading to external intervention	12	Risk Registers being developed on GRACE risk management system	6	4	Transformation Strategy approved by Cabinet	Implemented	28/07/2017	20/09/2017
		Transformation Strategy approved by Cabinet						
					Meet all the targets in our Scrutiny Review of Licensing Action Plan	In Progress	31/03/2018	
		Cross party Improvement Reference Group with external membership in place						
		AGS Action plan						
					ICT Performance based system being developed	In Progress	26/01/2018	
					Develop systems and processes to improve the way we collect, monitor and use data, research and intelligence to inform decision-making and priority setting	In Progress	26/01/2018	
					Implement Transformation Strategy Short term action plan	In Progress	31/10/2017	
					Implement Transformation Strategy medium term action plan	Proposed	31/01/2018	
	Implement Transformation Strategy long-term action plan	Proposed	31/07/2018					
		Meet all the targets in the new Improvement Plan	In Progress	31/03/2018				

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Reduction in Government Grant threatens the financial sustainability of the Council	16	Forecasting the impact of known events allows for financial planning to take place. This includes alternative options and also the incorporation of the use of reserves and judgements on the recommended level reserve balances	16	9	Implement Strategic Asset Review actions	In Progress	31/03/2018	20/09/2017
		Varied options with regard to alternative income sources and income generation are considered within the MTFS to address the issue:- BRR growth, income generation schemes, Council Tax Increases, increasing the Council Tax base.			Internal, cross-party asset group to shape and present new Investment Strategy for implementation and realisation of additional income. Initial draft strategy/framework presented to Cabinet June 2017. Revised strategy to be presented to Governance Committee 29 November 2017 and Cabinet 6 December 2017	In Progress	31/03/2018	
		Reporting to Budget Holders and SMT, Performance Reporting to cabinet, Budget Monitoring Reports to Governance Committee (and Cabinet in future), Programme Board, External Audit inspection, Scrutiny process.						
		SFS staff have access to training to keep up to date with latest financial developments.						
				This will be monitored via a Programme Board and progress reported to members accordingly.	In Progress	31/03/2018		
Fraud & Corruption	12	Current arrangements against the 'Fighting Fraud and Corruption Locally' checklist completed and action plan produced	8	4	Update Anti Fraud and Corruption Strategy Compile Corporate Fraud Risk Register in GRACE	In Progress	31/12/2017	20/09/2017
		Policies in place and available to all officers via Connect						
		Periodic training / awareness sessions carried out with officers						
Health inequalities in the Borough	6	These have action plans in place and are being delivered support local communities to develop 'My Neighbourhoods' plans.	4	4				
		Homelessness Strategy approved by Cabinet June 2017						

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Homelessness Reduction Bill leading to new duties that we are not resourced to undertake.	16	Homelessness Strategy approved by Cabinet June 2017	9	6				
		Training delivered to all those dealing with homelessness						
		New burdens funding is to be made available - this is not known at an LA level as yet						
		Briefings drafted for SMT and Chief Executive						
Impact of Brexit on Council Funding- Councils will no longer receive EU regeneration funds, funding packages effected include European Structure & Investment Fund (ESIF) and European Regional Development Fund (ERDF)	3	Look for alternative external funding	3	3				
Impact of Brexit on Council Services - Local Government currently complies with EU laws and legislation in areas such as environmental health, economic development, waste collection and employment. Areas likely to impact SRBC (or our providers) include Working Time Directive & Agency Worker Regulations (2010), Procurement & Competition Law, Rights of people with disabilities, Equalities, Health & Safety Regulations, State Aid rules and Environmental Policy.	6	Monitoring of guidance issued by Government and professional bodies	6	4	Continuation of monitoring	In Progress	0043224	
Impact of Brexit on the local economy - Cost of Trade between UK and EU likely to increase, European firms could be deterred from investing in the UK, restrictions on migration may impact recruitment and skills base available	6	Current strategy covers us until 2018 but Economic Development team are creating a new one	6	3	Engage with City Deal Partners to produce Master plans	In Progress	31/03/2018	20/09/2017
		Scheme completed						
					Engage with City Deal Partners to complete	In Progress	31/10/2018	
					Complete Scheme	Implemented	31/08/2017	
					Cuerden Masterplan to be before Planning Committee Autumn 2017	In Progress	31/03/2018	

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Inactive communities leading to poor health	9	To provide all residents with access to well managed and accessible open space for formal and informal physical activity sport and recreation	6	4	Review now being commissioned and due for completion in 2018	In Progress	31/03/2018	20/09/2017
		Working in Partnership with South Ribble Leisure Trust to provide well managed and accessible sports facilities which meet the needs of all residents now and in the future in a sustainable manner						
		Delivering a comprehensive programme of activity to 47 primary schools. With trained sports coaches/cycling instructors. Engaging in over 600 sports sessions in school PE time and over 160 outside of school engaging in more that 5000 young people weekly						
Inadequate housing stock to meet the needs of people moving into the area	9	Following SR Housing Framework Action Plan	6	4	Action plan produced	In Progress	31/03/2018	20/09/2017
		New properties being built in City Deal schemes			Action plan produced and reporting via City Deal	In Progress	31/03/2018	
		Bi-Annual progress updates investigating potential for housing developments are part of campus strategy						
Failure of Information systems and data security	8	Maintaining high level of ICT security, achievement of PSN accreditation.	6	2	ICT Performance based system being developed	In Progress	26/01/2018	20/09/2017
		Development of annual ICT work programme						
Lack of affordable housing to meet the needs of low income and vulnerable people	9	Homelessness Strategy approved by Cabinet June 2017	6	4	Action plan produced	In Progress	31/03/2018	20/09/2017
		South Ribble Housing Framework in place and year 1 actions delivered			Action plan produced and reporting via City Deal	In Progress	31/03/2018	

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Lack of capital funding to undertake large scale improvements to parks, open spaces and leisure facilities	6	To continue to deliver against the vision plan for Worden Park.	4	4	Report to Cabinet June 2017 and progress through City Deal Governance arrangements.	In Progress	31/03/2018	20/09/2017
		Work with partners or external funding providers to find funding/project options			Review now being commissioned and due for completion 2018.	In Progress	31/03/2018	
					Internal, cross-party asset group to shape and present new Investment Strategy for implementation and realisation of additional income generation in 2017/18. Initial draft strategy/framework presented to Cabinet June 2017. Revised strategy to be presented to Governance Committee 29 November 2017 and Cabinet 6 December 2017.	In Progress	31/03/2018	
Low economic growth	9	Our current economic strategy runs out in 2018.	4	4	Engage with City Deal Partners to produce Masterplans	In Progress	31/03/2018	20/09/2017
		Economic growth is factored into all master planning and regeneration schemes. Improvements to areas to attract customers, creating space for new business, increasing parking availability are just a few things we have looked at in recent projects to help increase economic growth			Engage with City Deal Partners to produce Masterplans	In Progress	31/03/2018	
		We have been successful in the creation of a Town Team in Leyland - a group made up of business owners in the area. We work with them on projects and events to attract customers into Leyland. We are working on rolling this initiative out in other areas of South Ribble			Scheme Completed	Implemented	31/08/2017	
		A number of events are held throughout the year working alongside local businesses to help raise money, promote the area and attract more residents/customers. These include the Live events, Festivals and Christmas events			Cuerden Masterplan to be before Planning Committee Autumn 2017	In Progress	31/03/2018	
		Scheme completed			A new Economic Development strategy is being created by the Economic Development Team	In Progress	31/03/2018	

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Public Service reform	9	Asset Management Plan, Investment Framework and Strategic Review of Property and Assets.	6	2	Work with partners to consider and develop new business models	Proposed	31/03/2018	20/09/2017
		DWP move into Civic Centre On-going discussions with other potential co-location partners						
		Regular meetings to shape/develop the agenda			Masterplan developed. Delivery subject to OPE process. Now at Stage 5 of the OPE process.	In Progress	31/03/2018	
Failure to recruit and / or retain staff with the skills needed to deliver services and improvement activities recruitment and retention	12	Transformation Strategy approved by Cabinet	8	6	Meet all the targets in the new Improvement Plan	In Progress	31/03/2018	20/09/2017
		Policies including Pay Policy / Recruitment policy						
		Flexi time / free car parking / professional subscriptions etc			Meet all the targets in the Scrutiny Review of Licensing Action Plan	Proposed	31/03/2018	
					Implement the Transformation Strategy Short term Action Plan	In Progress	31/10/2017	
					Implement Transformation Strategy Medium Term action plan	Proposed	31/01/2018	
					Implement the Transformation Strategy Long Term action plan	Proposed	31/07/2018	

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Reduced funding leads to the need to reduce staffing levels for environmental management of the Borough	6	Action plans in place and are being delivered to encourage more residents to participate in My Neighbourhood plans and projects.	6	4	Work with partners to consider and develop new business models	In Progress	31/03/2018	20/09/2017
		Asset Management Plan, Investment Framework and Strategic Review of Property and Assets			Draft Strategy / Framework presented to Cabinet June 2017	In Progress	31/03/2018	
					Agree a way forward for the next phase of shared services with neighbouring boroughs	In Progress	30/09/2017	
Low resident and customer satisfaction	6	To widen the use of technology for neighbourhood and environmental services to speed up our response to customer service requests.	4	2	Meet all the targets in the Improvement Plan	In Progress	31/03/2018	20/09/2017
		Frequent (at least annual) resident surveys.						
		Regular events held within the borough that are both enjoyable and reinforce community spirit/pride, such as Leyland Festival and the Truck Trail.						
		Through emails, social media platforms, and Gateway, residents can be asked to provide their opinions and feedback on Council priorities and operations, therefore helping establish their needs. This can be done through surveys, polls, and discussions.			Develop systems and processes to improve the way we collect, monitor and use data, research and intelligence to inform decision-making and priority setting	In Progress	31/03/2018	
		Holding regular team service review meetings where staff can put forward ideas to make service improvements.						
		Taking a transparent approach to communication with residents regarding changes throughout the borough, avoiding them finding out via the media.						
	More online self-serve options available to customers	Proposed	31/03/2018					
	Meet all the targets in the Scrutiny Review of Licensing Action Plan	Proposed	31/03/2018					

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The impact of welfare reform on vulnerable people and families	9	Homelessness Strategy approved by Cabinet June 2017	6	4	Complete all the actions in our annual Safeguarding Action Plan	In Progress	31/03/2018	20/09/2017
		Gateway have staff trained in helping people improve their budgeting skills when they have been effected by Welfare Reform and Universal Credit						
		gateway and benefits staff have been trained on the Welfare reform and offer help and guidance to residents			Deliver all the actions in our Homelessness Strategy	In Progress	31/03/2018	
		Information regarding Welfare Reform and the help available has been shared with partners and outside organisations						